



PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: LDCF

Submission date: 11/23/2011

GEF PROJECT ID: 4700

GEF AGENCY PROJECT ID: 4878

COUNTRY(IES): Bangladesh

PROJECT TITLE: Integrating Community-based Adaptation into Afforestation and Reforestation Programmes in Bangladesh

GEF AGENCY(IES): UNDP, (select), (select)

GEF FOCAL AREA(S): Climate Change

A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	01/01/2012
Completion date of PPG	12/31/2012

B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

The final product of the proposed PPG phase will be a UNDP-GEF compliant, full-sized project document for submission to the LDCF. The document will cover the following in detail:

- Description of development baseline activities and related sources of financing, in particular a summary of relevant development and disaster management projects, investment programmes and capacity building activities that focus on disaster risk reduction, forestry management and livelihood support activities in Bangladesh's coastal areas;
- Review and summary of the existing policy and regulatory framework relevant for community-based disaster risk reduction, with particular emphasis on current climate-related impacts and threats;
- Review, analysis and summary of current and past project activities by government, donors, NGOs and private sector institutions that are related to increasing community resilience (including an updated report on co-operation and co-financing arrangements drawing on UNDP support). Special emphasis will be placed on the baseline analysis - both in terms of geographical and investment focus - of ongoing and planned government-owned afforestation and reforestation programmes (such as the project 'Poverty Alleviation through Social Forestry'), the Bangladesh Climate Change Resilience Fund (BCCRF), the Strategic Programme for Climate Resilience (SPCR) and the Comprehensive Disaster Management Programme (CDMP)
- A collation and synthesis of supporting reports (climate risk assessments, economic assessments of climate change impacts and cost/benefit studies of adaptation options) to justify the proposed project interventions and leverage financing for the proposed activities (this may include a research gap analysis, pre-feasibility studies for demonstration measures, etc.)
- Specification of planned adaptation activities to be financed by the LDCF and their rationale (i.e. why and how are they supposed to reduce vulnerability and/or increase adaptive capacity beyond what is already being done);
- Description of the geographic breakdown of project interventions in terms of districts, unions, upazilas and communities;
- Definition of project goal, objective, outcomes, outputs and verifiable indicators;

<ul style="list-style-type: none"> ● Definition of a Strategic Results Framework and a Monitoring and Evaluation (M&E) system with quantifiable and verifiable impact indicators. These indicators, which will specifically address adaptation impacts, will be based on guidance by UNDP’s M&E framework for adaptation projects. The indicators will be connected to a monitoring and evaluation plan, which will set out how and by whom these indicators will be measured and which way verification data will be collected by the project. ● Definition of implementation and execution arrangements for the project with detailed roles, responsibilities and a timeline for project implementation; ● Definition of a Stakeholder involvement plan for the preparation and implementation phase of the project, with a clear focus on community involvement and participation; ● Definition of Project Management and Reporting arrangements. ● Endorsement letters from the government and letters confirming co-financing commitments; ● A detailed set of Annexes including a listing of PPG activities and reports from all project relevant stakeholder consultations 					
List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Technical Definition and Capacity Needs Assessment	1.1. Project baseline defined 1.2. Current and projected climate change risks in project area defined 1.3. Specific sites for project intervention defined, with special emphasis on complementarity and additionality with government afforestation projects, BCCRF, SPCR and CDMP 1.4. Adaptation alternative assessed and summarized for each project Outcome 1.5. Project integrated with national climate change strategy, NAPA follow-up strategy and disaster risk reduction frameworks 1.6. Project aligned with complementary	LDCF	15,000	15,000	30,000

	LDCF project 1.7. Project systematically coordinated with BCCRF, SPCR and CDMP to achieve complementarity and avoid duplication				
2. Institutional arrangements, Monitoring and Evaluation	2.1. Strategic Results Framework including verifiable results indicators formulated 2.2. Monitoring and evaluation provisions and reporting arrangements formulated 2.3. Project implementation and execution arrangements, roles and responsibilities defined (ensuring complementarity with government baseline projects, BCCRF, SPCR and CDMP) 2.4. Project sustainability strategy defined (including plans for project replication, upscaling and knowledge management)	LDCF	10,000	10,000	20,000
3. Stakeholder Consultations	3.1. Project stakeholders mobilized and engaged in project definition, with special emphasis on government afforestation projects, BCCRF, SPCR and CDMP	LDCF	15,000	15,000	30,000

	Project Preparation	Agency Fee
Grant Amount	50,000	5,000
Co-financing	50,000	
Total	100,000	5,000

D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)¹

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
LDCF	UNDP	Climate Change	Bangladesh	50,000	5,000	55,000
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
Total PPG Amount				50,000	5,000	55,000

¹ No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

E. PPG BUDGET

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	24.00	24,000	30,000	54,000
International consultants*	8.00	20,000	10,000	30,000
Travel		6,000	10,000	16,000
				0
				0
				0
Total PPG Budget		50,000	50,000	100,000

* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator,	Signature	Date (Month, day,	Project Contact	Telephone	Email Address
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Agency Name		<i>year)</i>	Person		
Yannick Glemarec, GEF Executive Coordinator, UNDP		November 23, 2011	Gernot Laganda Regional Technical Advisor, (LECRDS) UNDP	+66-(0)2304 9100 Ext.2644	gernot.laganda@undp.org

Annex A

Consultants Financed by the Project Preparation Grant (PPG)

Type of Consultant	Position / Titles	\$/ Person Week¹	Estimated PWs²	Tasks to be Performed
Local	Project Assessment Specialist	1000	24.00	<ul style="list-style-type: none">- Outline a detailed problem analysis and suggest a project strategy that draws on experiences from Bangladesh's first LDCF project;- Identify specific sites for project intervention and collect baseline information about the socio-economic situation in the target communities;- Identify the project baseline in terms of current policies, projects and stakeholders that are relevant to the project (with special emphasis on activities planned under the BCCRF, SPCR and CDMP);- Coordinate consultations with government and non-government agencies, project stakeholders and potential project partners (with special focus on stakeholders engaged in government baseline projects ('Poverty Alleviation through Social Forestry'), the BCCRF, SPCR and CDMP);- Organize and facilitate technical and consultative meetings with local government and community stakeholders to assist project definition;- Propose, based on stakeholder consultations, a capacity development strategy for the project;- Assist in the analysis and design of priority adaptation measures for demonstration and replication (avoiding duplication and ensuring complementarity with BCCRF, SPCR and CDMP);- Develop a communication strategy with communities and facilitate their participation;- Define project roles and responsibilities and propose suitable project management, implementation and reporting arrangements;- Assist in the design of the project's Knowledge Management strategy;- Develop a sustainability and exit strategy for the project (i.e. a way

				<p>forward once LDCF funding is exhausted);</p> <ul style="list-style-type: none"> - Help build financing partnerships and mobilize co-financing resources;
International	Project Development Specialist	2500	8.00	<ul style="list-style-type: none"> - Act as primary contact point for the GEF Agency and the National Consultant for the drafting of a UNDP-GEF compliant project document; - Guide and coordinate input by the National Consultant; - Based on input by the National Consultant, define a Results Framework (including Outcomes, Outputs, Indicators and Risks/Assumptions) and outline indicative project activities; - Ensure additionality and complementarity of project Outcomes/Outputs/Activities with government-driven baseline afforestation projects (such as 'Poverty Alleviation through Social Forestry') and BCCRF - Alongside UNDP, provide quality control for the FSP formulation process in line with UNDP/GEF and LDCF requirements; - Assist in verifying climate risks associated with the project's target areas; - Validate problem analysis of the project; - Guide the determination of the scope and strategy of the project; - Frame a consistent climate change additionality argument for the project in line with findings from field assessments and ensure that there is no duplication (either geographically or in terms of investments) between LDCF, BCCRF, SPCR and CDMP; - Ensure that the project approach is cost-effective; - Formulate project management, reporting and evaluation arrangements and define an organigram for the project; - Facilitate the finalization of a financing and co-financing package for this project and ensure that co-financing letters are obtained in time for submission; - Facilitate stakeholder consultations on project preparation, including

				finalization of a detailed budget and TOR for all inputs in line with UNDP-GEF criteria and eligibility principles - Compile project Annexes and CEO endorsement template
(Select)				

¹ Provide dollar amount per person week.

² Provide person weeks needed to carry out the task